

Financial World Corporation

Brad Bervert

Darin Bahm

Memorandum of Understanding for Financial World Corporation and Brad Bervert

Date: June 1, 2004

Respondents: Financial World Corporation ("FWC") and Brad Bervert

Allegations: unethical practices, omission of a material fact, excessive commission, failure to enforce compliance manual

A Memorandum of Understanding was issued in which respondent Bervert neither admitted nor denied the allegations above. Bervert agreed to pay disgorgement in the amount of \$7,240 and Bervert and FWC agreed to comply with all applicable provisions of the Kansas Securities Act in the future.

Order of Dismissal for Respondents Financial World Corporation and Brad Bervert

Date: June 2, 2004

Respondents: Financial World Corporation and Brad Bervert

An Order of Dismissal was issued on the November 12, 2003 Notice of Intent to Invoke Administrative Sanctions Under the Kansas Securities Act against respondents Financial World Corporation and Brad Bervert.

Memorandum of Understanding for Respondent Darin Bahm

Date: June 7, 2004

Respondents: Darin Bahm

Allegations: unethical practices, omission of a material fact, excessive commission, failure to enforce compliance manual

A Memorandum of Understanding was issued in which respondent Bahm neither admitted nor denied the allegations above. Bahm agreed to pay disgorgement in the amount of \$7,500 and to comply with all applicable provisions of the Kansas Securities Act in the future.

Order of Dismissal for Respondent Darin Bahm

Date: June 9, 2004

Respondents: Darin Bahm

An Order of Dismissal was issued on the November 12, 2003 Notice of Intent to Invoke Administrative Sanctions Under the Kansas Securities Act against respondent Bahm.

Notice to Investors: If you are not a resident of Kansas and have been affected by actions that are similar to those described in this synopsis, you should consider contacting your state securities regulator for assistance. To locate your securities regulator, go to the [North American Securities Administrators Association](#) website. If you choose to contact your state securities regulator, please inform him or her of the action taken by the State of Kansas.